



Los Angeles City Ethics Commission

October 30, 2015

The Honorable City Council  
c/o Holly Wolcott, City Clerk  
200 North Spring Street  
City Hall – 3rd Floor  
Los Angeles CA 90012

**Re: Council File Number 15-1233  
Appointment of Brian Pendleton to the  
Board of Fire and Police Pension Commissioners**

***FOR COUNCIL CONSIDERATION***

Dear Councilmembers:

Brian Pendleton was appointed by the Mayor to Board of Fire and Police Pension Commissioners on October 15, 2015. The Ethics Commission received Mr. Pendleton's pre-confirmation financial disclosure statement on October 29, 2015. In compliance with Los Angeles Municipal Code § 49.5.10, a copy of Mr. Pendleton's financial disclosure statement is enclosed.

If you have questions, please feel free to contact me at (213) 978-1960.

Sincerely,

Alexandria Latragna  
Senior Ethics Analyst

*Enclosures:*

*CA Form 700*

*CEC Form 60*

cc: Mayor Eric Garcetti

**STATEMENT OF ECONOMIC INTERESTS  
 COVER PAGE**

OCT 29 2015

Please type or print in ink.

RECEIVED

NAME OF FILER (LAST) (FIRST) (MIDDLE)  
 Pendleton Brian

**1. Office, Agency, or Court**

Agency Name  
 Board of Fire and Police Pension Commissioners  
 Division, Board, Department, District, if applicable  
 Your Position  
 Commissioner

► If filing for multiple positions, list below or on an attachment.

Agency: \_\_\_\_\_ Position: \_\_\_\_\_

**2. Jurisdiction of Office (Check at least one box)**

State  Judge or Court Commissioner (Statewide Jurisdiction)  
 Multi-County \_\_\_\_\_  County of \_\_\_\_\_  
 City of Los Angeles  Other \_\_\_\_\_

**3. Type of Statement (Check at least one box)**

Annual: The period covered is January 1, 2013, through December 31, 2013.  
 -or-  
 The period covered is \_\_\_\_\_, through December 31, 2013.  
 Assuming Office: Date assumed \_\_\_\_\_  
 Pre-confirmation \_\_\_\_\_ (Date appointed or reappointed)  
 Leaving Office: Date Left \_\_\_\_\_ (Check one)  
 The period covered is January 1, 2013, through the date of leaving office.  
 The period covered is \_\_\_\_\_, through the date of leaving office.

**4. Schedule Summary**

Check applicable schedules or "None." **► Total number of pages including this cover page: \_\_\_\_\_**

Schedule A-1 - Investments – schedule attached  Schedule C - Income, Loans, & Business Positions – schedule attached  
 Schedule A-2 - Investments – schedule attached  Schedule D - Income – Gifts – schedule attached  
 Schedule B - Real Property – schedule attached  Schedule E - Income – Gifts – Travel Payments – schedule attached  
 -or-  
 None - No reportable interests on any schedule

**5. Verification**

MAILING ADDRESS (Business or Agency Address Recommended - Public Document) STREET CITY STATE ZIP CODE  
 \_\_\_\_\_  
 DAYTIME TELEPHONE NUMBER E-MAIL ADDRESS (OPTIONAL)  
 \_\_\_\_\_

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.

I certify under penalty of perjury under the laws of the State of California that the information provided is true and correct.

Date Signed 10-26-15 Signature \_\_\_\_\_  
(month, day, year)

SCHEDULE A-1

Investments  
Stocks, Bonds, and Other Interests  
(Ownership Interest is Less Than 10%)  
Do not attach brokerage or financial statements.

CALIFORNIA FORM 700  
FAIR POLITICAL PRACTICES COMMISSION  
Name \_\_\_\_\_

<BLUE> is a required field

NAME OF BUSINESS ENTITY	GENERAL DESCRIPTION OF THIS BUSINESS ACTIVITY	FAIR MARKET VALUE (Select from drop down list)	NATURE OF INVESTMENT (Select from drop down list if "Other" describe)	IF APPLICABLE, LIST DATE (mm/yy/yy) (14) ACQUIRED DISPOSED
Energy LP - ATLAS ENERGY GROUP LLC	Energy	\$2,000 - \$10,000	Stock	
Stock - CHENIERE ENERGY	Regasification Facilities	\$2,000 - \$10,000	Stock	
Stock - ARMSTRONG WORLD INDS INC NEW	Building Materials	\$2,000 - \$10,000	Stock	
Stock - FLEXTRONICS INTL LTD	Electronics & Industrial Products	\$10,001 - \$100,000	Stock	
Stock - SOTHEBY'S (DELAWARE)	Specialty Retail	\$10,001 - \$100,000	Stock	
Stock - GENERAL MOTORS CO COMMON SHARES	Cars, Trucks, auto parts	\$10,001 - \$100,000	Stock	
Stock - AMERICAN AIRL'S GROUP INC	Airline	\$10,001 - \$100,000	Stock	
Stock - ALLISON TRANSMISSION HLD INC	Transmissions- US Defense	\$10,001 - \$100,000	Stock	
Stock - HCA HOLDINGS INC SHS	Health Care Services	\$10,001 - \$100,000	Stock	
Stock - CBRE GROUP INC CL A	Real Estate & Investment	\$10,001 - \$100,000	Stock	
Stock - NCR CORP NEW	Information Technology	\$10,001 - \$100,000	Stock	
Stock - MASCO CORP	Home Improvement, building products	\$10,001 - \$100,000	Stock	
Stock - MACQUARIE INFRASTRUCTURE CORP	Airports, Air Services	\$10,001 - \$100,000	Stock	
Stock - ALPHABET INC SHS CL A	Google	\$10,001 - \$100,000	Stock	
Stock - WELLS FARGO & CO NEW DEL	Banks- Global	\$10,001 - \$100,000	Stock	
Preferred Stock - DIGITAL REALTY TRUST INC SER F CUM REDEMBLE PFD STK 6.625% PERPETUAL	REIT- Office	\$10,001 - \$100,000	Stock	
Preferred Stock - NAT RETAIL PTY INC (NNI) SER D CUM 6.825% REDEEMABLE PFD STK	Insurance- Property & Casualty	\$10,001 - \$100,000	Stock	
Preferred Stock - EQUITY COMMONWEALTH PFD STK 5.75% AUG 01 2042	REIT- Office	\$10,001 - \$100,000	Stock	
Preferred Stock - FIRST REPUBLIC BANK SER 6 NON-CUM PFD STK 6.20% PERPETUAL	Banks-Regional-US	\$10,001 - \$100,000	Stock	
Preferred Stock - PPL CAPITAL FUNDING INC SER B JUNIOR SUB NOTES 5.9% DUE 4/30/2073	Utilities- Diversified	\$10,001 - \$100,000	Stock	
Preferred Stock - QWEST CORPORATION \$25 PAR SR NOTES 7.0% DUE APRIL 1 2052	Telecommunications	\$10,001 - \$100,000	Stock	
Preferred Stock - SCE TRUST II PFD STK 06.100% JAN 01 2049	Utilities- Regulated Electric	\$10,001 - \$100,000	Stock	
Preferred Stock - ASPEN INSURANCE HLDG LTD SER PFD STK	Insurance-Reinsurance	\$10,001 - \$100,000	Stock	
Preferred Stock - ENTERGY MISSISSIPPI INC 6.00% PFD STK	Utilities- Diversified	\$10,001 - \$100,000	Stock	
Preferred Stock - KIMCO REALTY CORP (KIM) CLASS T CUM REDEMBLE PFD STK 6.00% PERPETUAL	REIT- Retail	\$10,001 - \$100,000	Stock	
Preferred Stock - REALTY INCOME SER F PFD STK	REIT- Retail	\$10,001 - \$100,000	Stock	
Preferred Stock - PUBLIC STORAGE (PSA) PFD SHARES SERIES S 5.90% CUM PERPETUAL	REIT- Industrial	\$10,001 - \$100,000	Stock	
Preferred Stock - DOR CORP SER J CUM PFD STK	REIT- Retail	\$10,001 - \$100,000	Stock	
Preferred Stock - RENAISSANCE RE HLDGS LTD SER E PFD SHRS NON-CUM 5.375% PERPETUAL	Insurance-Reinsurance	\$10,001 - \$100,000	Stock	
Preferred Stock - THE GOLDMAN SACHS GROUP FIXED TO FLTG NON CUM 5.50% SERIES J PERPETUAL	Capital Markets	\$10,001 - \$100,000	Stock	
Preferred Stock - BB&T CORPORATION SER E NON-CUM PFD STK 5.625% PERPETUAL	Banks-Regional-US	\$10,001 - \$100,000	Stock	
Preferred Stock - PARTNERRE LTD SER D CUM RED PFD SHRS 06.500% PERPETUAL	Insurance-Reinsurance	\$10,001 - \$100,000	Stock	
Preferred Stock - ENTERGY ARKANSAS SER PFD STK	Utilities- Diversified	\$10,001 - \$100,000	Stock	
Preferred Stock - ENTERGY LA LLC SER PFD STK	Utilities- Diversified	\$10,001 - \$100,000	Stock	
Preferred Stock - DTE ENERGY CO SER C JUNIOR SUB DEBENTURES 05.250% DEC 01 2062	Utilities- Regulated Electric	\$10,001 - \$100,000	Stock	
Stock - AERCAP HOLDINGS NV SHS	Airports & Air Services	\$10,001 - \$100,000	Stock	
Corp Bond - ZIONS BANCORPORATION JR SUBORDINATED VAR% PERPETUAL	Banks-Regional-US	\$10,001 - \$100,000	Stock	
Stock - ENLINK MIDSTREAM LLC UNITS	Integrated Midstream- Natural Gas	\$10,001 - \$100,000	Stock	
Stock - MICROSOFT CORP	Software- Infrastructure	\$10,001 - \$100,000	Stock	
Preferred Stock - DIGITAL REALTY TRUST INC 7.00% PERPETUAL PFD STK CUM REDEEMABLE SERIES E	REIT- Office	\$10,001 - \$100,000	Stock	
Corp Bond - JPMORGAN CHASE & CO JR SUBORDINTD SER R GLB VAR% PERPETUAL	Banks-Global	\$10,001 - \$100,000	Stock	
Preferred Stock - ARCH CAPITAL GROUP LTD SER C NON CUM PFD STK 6.75% PERPETUAL	Insurance-Reinsurance	\$10,001 - \$100,000	Stock	
Corp Bond - MET BANK CORPORATION JR SUBORDINTD SER E GLB VAR% PERPETUAL	Banks-Regional-US	\$10,001 - \$100,000	Stock	
Preferred Stock - SCE TRUST I SER PFD STK	Utilities- Regulated Electric	\$10,001 - \$100,000	Stock	
Energy LP - ENLINK MIDSTREAM PARTNERS LP	Energy	\$10,001 - \$100,000	Stock	
Energy LP - BILLINGTON FINANCIAL LLC	Energy	\$10,001 - \$100,000	Stock	

SCHEDULE A-1

Investments  
Stocks, Bonds, and Other Interests  
(Ownership Interest is Less Than 10%)  
Do not attach brokerage or financial statements.

CALIFORNIA FORM 700  
FPPC POLITICAL PRACTICES COMMISSION  
Name

<BLUE> is a required field

NAME OF BUSINESS ENTITY	GENERAL DESCRIPTION OF THIS BUSINESS ACTIVITY	FAIR MARKET VALUE (Select from drop down list)	NATURE OF INVESTMENT (Select from drop down list if "Other" describes)	IF APPLICABLE, LIST DATE (max 4/30/14)	
				ACQUIRED	DISPOSED
Stock - LAMAR ADVERTISING CO.A	Outdoor advertising-billboards	\$10,001 - \$100,000	Stock		
Corp Bond - GOLDMAN SACHS GROUP INC JR SUBORDINATED SER M VAR% PERPETUAL	Capital Markets	\$10,001 - \$100,000	Stock		
Corp Bond - JPMORGAN CHASE & CO JR SUBORDINATED SER V GLB VAR% PERPETUAL	Banks- Global	\$10,001 - \$100,000	Stock		
Stock - APPLE INC	Computers, Communication	\$10,001 - \$100,000	Stock		
Energy LP - ENERGY TRANSFER PARTNRS LP	Energy	\$10,001 - \$100,000	Stock		
Stock - VODAFONE GROUP PLC SHS ADR	Telecom Services	\$10,001 - \$100,000	Stock		
Energy LP - GOLAR LNG PARTNERS LP	Energy	\$10,001 - \$100,000	Stock		
Stock - NORTHSTAR REALTY FIK CORP	REIT- Retail	\$10,001 - \$100,000	Stock		
Energy LP - ENTERPRISE PRODS PARTN LP	Energy	\$10,001 - \$100,000	Stock		
Corp Bond - SUNTRUST BANKS INC JR SUBORDINATED VAR% PERPETUAL	Banks- Regional-US	\$10,001 - \$100,000	Stock		
Preferred Stock - WELLS FARGO COMPANY FIXED-YO FLOATING RATE 5.85% NON-CUM PERPETUAL	Banks- Global	\$10,001 - \$100,000	Stock		
Stock - WESTERN UNION	Credit Services	\$10,001 - \$100,000	Stock		
Energy LP - MARKWEST ENERGY PARTNERS LP	Energy	\$10,001 - \$100,000	Stock		
Stock - VEREIT INC	REIT- Diversified	\$10,001 - \$100,000	Stock		
Corp Bond - MORGAN STANLEY JR SUBORDINATED SER 1 GLB VAR% PERPETUAL	Capital Markets	\$10,001 - \$100,000	Stock		
Corp Bond - CITICORP INC JR SUBORDINATED SER M VAR% PERPETUAL	Banks- Global	\$10,001 - \$100,000	Stock		
Energy LP - TARGA RESOURCES PARTNERS	Energy	\$100,001 - \$1,000,000	Stock		
Energy LP - ENERGY TRANSFER EQUITY LP	Energy	\$100,001 - \$1,000,000	Stock		
Corp Bond - MORGAN STANLEY SER 0000 ZERO% AUG 15 2016	Capital Markets	\$100,001 - \$1,000,000	Stock		
Stock - ISHARES GOLD TR	Commodities Prec Metals	\$100,001 - \$1,000,000	Stock		
Stock - GLOBE SPECIALTY METALS INC	Produces Silicon Metal	\$2,000 - \$10,000	Stock	1/27/14	
Energy LP - PLAINS GP FLOCS LP	Energy	\$2,000 - \$10,000	Stock	4/17/15	
Stock - SUNNY'S GROUP PLC SHS	Diversified Industrials	\$2,000 - \$10,000	Stock	4/10/15	
Stock - COMCAST CORP NEW CL A	Media & Technology	\$2,000 - \$10,000	Stock	8/24/15	
Stock - ALLERGAN PLC	Pharmaceutical	\$2,000 - \$10,000	Stock	9/9/15	
Stock - KRAFT (THE) HEINZ CO SHS	Consumer packaged food, bev	\$2,000 - \$10,000	Stock	10/7/15	
Stock - ROLLS ROYCE GRP SPN ADR	Aerospace & Defense	\$2,000 - \$10,000	Stock	10/7/15	
Stock - MANITOWOC CO INC WIS	Capital Goods Manuf	\$10,001 - \$100,000	Stock	8/24/15	
Stock - CHARTER COMMUNICATIONS INC CLASS A	Cable Operator	\$10,001 - \$100,000	Stock	6/8/15	
Stock - SPIRIT AEROSYSTEMS HLDGS INC	Aerospace & Defense	\$10,001 - \$100,000	Stock	9/10/15	
Stock - NXP SEMICONDUCTORS N.V.	Semiconductors	\$10,001 - \$100,000	Stock	4/10/15	
Stock - PACKAGING CORP AMERICA	Packaging & Containers	\$10,001 - \$100,000	Stock	11/19/14	
Stock - MSC INC CLASS A	Business Services- Global Index	\$10,001 - \$100,000	Stock	11/17/14	
Stock - AMER EXPRESS COMPANY	Credit Services	\$10,001 - \$100,000	Stock	4/10/16	
Stock - HALLIBURTON COMPANY	Production of Oil, Natural Gas	\$10,001 - \$100,000	Stock	8/21/15	
Stock - TWENTY-FIRST CENTURY FOX INC CLASS B	Media- Diversified	\$10,001 - \$100,000	Stock	4/10/15	
Stock - MOTOROLA SOLUTIONS INC	Communication Equipment	\$10,001 - \$100,000	Stock	5/18/15	
Stock - CADENCE DESIGN SYS INC	System Design Enablement Solutions	\$10,001 - \$100,000	Stock	8/21/15	
Stock - AVIS BUDGET GROUP INC	Car Rentals	\$10,001 - \$100,000	Stock	11/19/14	
Stock - DELTA AIR LINES INC	Air Transportation	\$10,001 - \$100,000	Stock	4/10/15	
Stock - YENY HEALTHCARE CORP COM NEW	Medical Care	\$10,001 - \$100,000	Stock	11/19/14	
Stock - VIMPEL COM LTD SPONSORED ADR	Telecom Services	\$10,001 - \$100,000	Stock	7/7/15	
Stock - WENDYS CO COM	Restaurants	\$10,001 - \$100,000	Stock	5/15/15	
Stock - INTERXON HOLDINGS TLV	Cloud Data Services	\$10,001 - \$100,000	Stock	8/14/15	
Energy LP - OAKTREE CAP GROUP LLC CLASS A UNITS	Energy	\$10,001 - \$100,000	Stock	7/8/15	
Stock - BANK NEW YORK MELLON CORP	Global Investments	\$10,001 - \$100,000	Stock	1/7/15	
Stock - BROOKDALE SR LIVING INC	Senior Living Communities	\$10,001 - \$100,000	Stock	11/14/14	
Energy LP - PLAINS ALL AMERN PPL LP	Energy	\$10,001 - \$100,000	Stock	4/10/15	
Stock - PENNYMAC HTS TRVY TR	REIT- Diversified	\$10,001 - \$100,000	Stock	8/7/15	
Stock - BOEING COMPANY	Jetliners, Aircraft, Satellites	\$10,001 - \$100,000	Stock	8/6/15	
Stock - NEXTERA ENERGY INC SHS	Utilities- Regulated Electric	\$10,001 - \$100,000	Stock	1/7/15	
Stock - AT&T INC	Telecom Services	\$10,001 - \$100,000	Stock	4/9/15	
Energy LP - TESORO LOGISTICS LP	Energy	\$10,001 - \$100,000	Stock	1/7/15	
Stock - TARGA RESOURCES CORP COM STR	Oil & Gas Midstream	\$10,001 - \$100,000	Stock	8/6/15	
Stock - GENERAL ELECTRIC	Engines, Power, Appliances, etc.	\$10,001 - \$100,000	Stock	4/14/15	
Energy LP - NUSTAR ENERGY	Energy	\$10,001 - \$100,000	Stock	9/19/13	
Corp Bond - AMERICAN EXPRESS CO JR SUBORDINATED SER C GLB VAR% PERPETUAL	Credit Services	\$10,001 - \$100,000	Stock	4/28/15	
Sector Fund - DUFF AND PHELPS SELECT ENERGY MLP FD INC	Energy	\$10,001 - \$100,000	Stock	6/30/15	



SCHEDULE A-2

Investments, Income, and Assets  
of Business Entities/Trusts  
(Ownership Interest is 10% or Greater)

CALIFORNIA FORM **700**  
FAIR POLITICAL PRACTICES COMMISSION  
Name

<BLUE> is a required field

\* Select from drop down list

1. Business Entity or Trust							2. Gross Income Received	3. Sources of Income of \$10,000 or more	4. Investments or Interests in Real Property Held by the Business Entity or Trust (Use a separate line for each investment or real property interest.)					
NAME AND ADDRESS OF BUSINESS ENTITY OR TRUST (Business Address Acceptable) (If Trust, go to 2)	GENERAL DESCRIPTION OF BUSINESS ACTIVITY	FAIR MARKET VALUE*	LIST DATE ACQUIRED OR DISPOSED (mm/dd/2014)	A or D	NATURE OF INVESTMENT (If "other," describe)*	YOUR BUSINESS POSITION	INCLUDE YOUR PRO RATA SHARE OF GROSS INCOME TO ENTITY/TRUST*	LIST SINGLE SOURCES OF INCOME OF \$10,000 OR MORE	INVESTMENT-BUSINESS ENTITY/NAME, AND BUSINESS ACTIVITY	REAL PROPERTY- LIST PRECISE LOCATION OF REAL PROPERTY	FAIR MARKET VALUE*	LIST DATE ACQUIRED OR DISPOSED (mm/dd/2014)	A or D	NATURE OF INTEREST (if "other," describe)*
Brian Pendleton & Chad Goldman Trust							\$0-\$499							
High Five Group, Inc	Holding Company	Over \$1,000,000			Stock	President	Over \$100,000		OmniForce Holdings, LLC - Holding Company		Over \$1,000,000			Membership Interest
OmniForce Holdings, LLC	Holding Company	Over \$1,000,000			Membership Interest	President	Over \$100,000		OmniForce, LLC - Holding Company		Over \$1,000,000			Membership Interest
OmniForce, LLC	Holding Company	Over \$1,000,000			Membership Interest	President	Over \$100,000		CauseForce, LLC - Fundraising, Consulting Services		Over \$1,000,000			Membership Interest
OmniForce, LLC	Holding Company	Over \$1,000,000			Membership Interest	President	Over \$100,000		RaceForce, LLC - Fundraising, Consulting Services		Over \$1,000,000			Membership Interest
OmniForce, LLC	Holding Company	Over \$1,000,000			Membership Interest	President	Over \$100,000		385 Productions, Inc - Video Production Service		Over \$1,000,000			Stock
RaceForce, LLC	Holding Company	Over \$1,000,000			Membership Interest	President	Over \$100,000		Project Sport, LLC - Fundraising, Consulting Services		Over \$1,000,000			Membership Interest
RaceForce, LLC	Holding Company	Over \$1,000,000			Membership Interest	President	Over \$100,000		Pacific Shoreline Marathon, LLC		Over \$1,000,000			Membership Interest





City Ethics Commission  
 200 N Spring Street  
 City Hall — 24th Floor  
 Los Angeles, CA 90012  
 Mail Stop 129  
 (213) 978-1960

# Restricted Source Financial Disclosure Statement CEC Form 60

Elected City officials, general managers and chief administrative officers of City agencies, members of City boards and commissions, and individuals nominated to positions subject to City Council approval must file this form in conjunction with the state Form 700. Please refer to the attached instructions for additional information.

Original Filing  Amended Filing (original filed on \_\_\_/\_\_\_/20\_\_\_)

Total Pages: 2

Name: Pendleton, Brian  
(Last, First, Middle)

LOS ANGELES CITY  
 ETHICS COMMISSION

Agency: Board of Fire and Police Pension Commissioners

Position: Commissioner

OCT 29 2015

Phone: [REDACTED]

Email: [REDACTED]

RECEIVED

Type of Statement:  Pre-confirmation Date of nomination: 10 / 15 / 20 15  
 Assuming Office First day in position: \_\_\_ / \_\_\_ / 20 15  
 Annual \_\_\_ / \_\_\_ / 20 14 through December 31, 20 14  
 Leaving Office Last day in office: \_\_\_ / \_\_\_ / 20 \_\_\_

**I had the following interests associated with restricted sources during this reporting period:**

**1. REAL PROPERTY**

The following interest in real property was leased from or to, co-owned by, purchased from, or sold to a restricted source.

Name of restricted source: \_\_\_\_\_

Address of restricted source: \_\_\_\_\_

Address or assessor's parcel number of real property: \_\_\_\_\_

Interest co-owned/purchased/sold by/leased by or to:  Me  My spouse/registered domestic partner  
 My dependent child

Interest was:  Leased  Co-owned  Purchased (date: \_\_\_ / \_\_\_ / 20\_\_\_)  Sold (date: \_\_\_ / \_\_\_ / 20\_\_\_)

Nature of interest:  Ownership/Deed or Trust  Easement  Leasehold (years remaining: \_\_\_)  
 Other: \_\_\_\_\_

Value of interest:  \$2,000—\$10,000  \$10,001—\$100,000  \$100,001—\$1,000,000  Over \$1,000,000

Do you have additional real property interests to report?  No  Yes, and \_\_\_ additional pages are attached.

**2. INVESTMENTS**

The following investments (other than real property) were co-owned by, purchased from, or sold to a restricted source.

Name of restricted source: \_\_\_\_\_

Address of restricted source: \_\_\_\_\_

Name of investment: \_\_\_\_\_

Nature of investment:  Stock  Partnership  Other \_\_\_\_\_

Investment co-owned/purchased/sold by:  Me  My spouse/registered domestic partner  My dependent child

Investment was:  Co-owned  Purchased (date: \_\_\_ / \_\_\_ / 20\_\_\_)  Sold (date: \_\_\_ / \_\_\_ / 20\_\_\_)

Value of investment:  \$2,000—\$10,000  \$10,001—\$100,000  \$100,001—\$1,000,000  Over \$1,000,000

Do you have additional investments to report?  No  Yes, and \_\_\_ additional pages are attached.



City Ethics Commission  
 200 N Spring Street  
 City Hall — 24th Floor  
 Los Angeles, CA 90012  
 Mail Stop 129  
 (213) 978-1966

# Restricted Source Financial Disclosure Statement CEC Form 60

**3. INCOME**

The following income was received from a restricted source.

Name of restricted source: \_\_\_\_\_

Address of restricted source: \_\_\_\_\_

Business activity of source: \_\_\_\_\_

Your business position: \_\_\_\_\_

Income received by:  Me  My spouse/registered domestic partner  My dependent child

Value of income:  \$500—\$1,000  \$1,001—\$10,000  \$10,001—\$100,000  Over \$100,000

Income was:  Salary/Commission  Loan repayment  Rental income  Sale of \_\_\_\_\_  
 (e.g., car, boat, etc.)

Other: \_\_\_\_\_

Do you have additional income to report?  No  Yes, and \_\_\_\_\_ additional pages are attached.

**4. GIFTS**

The following gifts cumulatively valued at \$50 or more were received from a restricted source.

Name of restricted source: \_\_\_\_\_

Address of restricted source: \_\_\_\_\_

Business activity of source: \_\_\_\_\_

Gifts received by:  Me  My spouse/registered domestic partner  My dependent child

Dates received: \_\_\_\_/\_\_\_\_/20\_\_\_\_; \_\_\_\_/\_\_\_\_/20\_\_\_\_ Value of gifts: \_\_\_\_\_

Description of gifts: \_\_\_\_\_

Do you have additional gifts to report?  No  Yes, and \_\_\_\_\_ additional pages are attached.

**5. BOARD POSITIONS**

The following position was held on the board of a restricted source.

Name of restricted source: \_\_\_\_\_

Address of restricted source: \_\_\_\_\_

Position title: \_\_\_\_\_

Position held by:  Me  My spouse/registered domestic partner  My dependent child

Do you have additional positions to report?  No  Yes, and \_\_\_\_\_ additional pages are attached.

**6. NO INTERESTS**

I had no reportable interests in real property, investments, income, gifts, or board positions associated with restricted sources during this reporting period.

**Certification**

*I declare under penalty of perjury under the laws of the City of Los Angeles and the state of California that I have read the instructions for this form, and that*

10-26-11  
 Date

\_\_\_\_\_  
 Signature